

PTE

PREMIER TRADE EXCHANGE
MEMBERSHIP LEGAL PACKAGE

DOCUMENT 13

TRANSACTION CONFIRMATION AND SETTLEMENT AUTHORIZATION FORM

DOCUMENT VERSION

1.0

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ISSUED BY

The RH Group LLC d/b/a Premier Trade Exchange

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Downtown Los Angeles, California 90071, United States

CURRENT CONSOLIDATED VERSION

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ATTORNEY-REVIEW NOTICE

This document is a comprehensive business contract template prepared for operational use. It is not legal advice and should be reviewed, localized, and approved by qualified counsel before execution or public deployment in each applicable jurisdiction.

IMPORTANT TRANSACTION AND SETTLEMENT NOTICE

This Form records the binding terms of a specific Buyer-Seller Transaction and authorizes its Cash, Trade Credit, mixed, escrow, fee, delivery, acceptance, and final-settlement instructions. It does not authorize a different Transaction, amount, beneficiary, settlement method, Cash Conversion, or recurring obligation unless expressly stated.

PART I - TRANSACTION IDENTIFICATION

Transaction Reference: _____ **Date Issued:** _____
Offer Expiration: _____
Expected Effective Date: _____ **Scheduled Completion:** _____

Classification: ☐ Goods ☐ Services ☐ Mixed ☐ Domestic ☐ Cross-border ☐ Cash-only ☐ Trade-credit-only ☐ Mixed settlement ☐ Escrow ☐ Milestone ☐ Recurring ☐ Restricted Activity

Status: ☐ Draft ☐ Proposed ☐ Conditional ☐ Pending Verification ☐ Pending Funding ☐ Binding ☐ In Performance ☐ Delivered ☐ Under Inspection ☐ Accepted ☐ Settlement Authorized ☐ Completed ☐ Disputed ☐ Cancelled ☐ Reversed

PART II - PARTIES**BUYER**

Legal Name: _____ **Member Account:** _____
Registration / Jurisdiction: _____
Business Address: _____ **Authorized Representative / Title:** _____
Email: _____

Verification: ☐ Active membership ☐ Business ☐ Ownership ☐ Authority ☐ Tax ☐ Cash capacity ☐ Trade Credit capacity ☐ Compliance

SELLER

Legal Name: _____ **Member Account:** _____
Registration / Jurisdiction: _____
Business Address: _____ **Authorized Representative / Title:** _____
Email: _____

Verification: ☐ Active membership ☐ Business ☐ Ownership ☐ Authority ☐ Tax ☐ Supply capacity ☐ Beneficiary ☐ Compliance

RELATED PARTIES AND PARTICIPANTS

Related-party relationship: ☐ No ☐ Yes - explain: _____

Participant	Role	Jurisdiction	Verified
			☐
			☐

PART III - DELIVERABLES

Item	Description	Specification	Quantity	Unit Price	Total
1					
2					
3					

Gross Transaction Value: _____ Reference Currency: _____

Condition: ☐ New ☐ Used ☐ Refurbished ☐ Remanufactured ☐ Surplus ☐ Open-box ☐ As-is ☐ Other: _____

Statement of Work / Specification References: _____

Substitutions: ☐ None ☐ Buyer approval required ☐ As follows: _____

PART IV - SETTLEMENT

Settlement: ☐ 100% Cash ☐ 100% Trade Credits ☐ Mixed

Component	Amount	Percentage
Cash		
Trade Credits		
Other approved consideration		
Total		100%

Cash Currency: _____ Trade Credit Reference Currency: _____
Valuation / Exchange Rate and Source: _____

The Seller acknowledges that trade credits are not Cash and that any Cash Conversion is a separate, conditional process requiring a Conversion Confirmation and ordinarily carrying a 10% fee.

Seller Initials: _____

PART V - CASH FUNDING

Payment structure: ☐ Before Delivery ☐ Deposit and balance ☐ Escrow ☐ Upon Delivery ☐ Upon Acceptance ☐ Milestones ☐ Installments

Payment	Trigger / Due Date	Amount	Destination
Deposit			
Milestone			
Final			

Funding Deadline: _____ **Verified Beneficiary:** _____
Bank / Provider and Masked Account: _____
Country / Currency: _____

No destination change is valid without authority, verification, enhanced authentication, compliance review, Buyer confirmation, and PTE record.

PART VI - TRADE CREDIT AUTHORIZATION

Buyer Trade Credit Account: _____ **Verified Available Balance:** _____
Amount Reserved: _____ **Seller Trade Credit Account:** _____

Release trigger: ☐ Binding formation ☐ Shipment ☐ Delivery ☐ Inspection ☐ Acceptance ☐ Milestone ☐ Staged release below

Release	Trigger	Trade Credit Amount
1		
2		
3		

The Buyer authorizes reservation, release, applicable permitted fee collection, valid reversal or adjustment, reasonable holds, and Buying Schedule recording. Buyer Initials: _____

The Seller authorizes receipt, applicable permitted fee collection, valid reversal or adjustment, reasonable holds, and Selling Schedule recording. Seller Initials: _____

PART VII - ESCROW

Escrow: ☐ Not required ☐ Required

Provider / Reference / Jurisdiction: _____ **Amount or Property Held:** _____
Funding Deadline: _____

Release conditions: ☐ Shipment ☐ Delivery ☐ Inspection ☐ Acceptance ☐ Delivery and Acceptance Certificate ☐ Milestone ☐ Joint instruction ☐ PTE administrative confirmation ☐ Other: _____

Fee Allocation: Buyer _____ Seller _____ Other _____

PTE is not the escrow holder unless a separate written agreement expressly states otherwise.

PART VIII - FEES, TAXES, AND CHARGES

Unless another signed rate applies:

Buying Transaction Fee: 3% of Fee Base **Selling Transaction Fee:** 7% of Fee Base **Cash Conversion Fee:** 10% of Gross Approved Conversion Amount

Buyer Fee Base / Estimated Fee: _____ **Seller Fee Base / Estimated Fee:** _____

Alternative Agreed Rates: _____

Collection method: ☐ Separate Cash ☐ Proceeds deduction ☐ Escrow ☐ Invoice ☐ Permitted Trade Credit debit
☐ Setoff ☐ Other

Tax / Charge	Responsible Party	Estimated Amount
Sales / VAT / GST		
Customs / Excise		
Withholding		
Escrow		
Bank / Payment		
Inspection / Freight / Insurance		
Other		

PART IX - CONDITIONS PRECEDENT AND COMPLIANCE

Condition	Responsible Party	Deadline	Completed	Waived
Buyer verification			☐	☐
Seller verification			☐	☐
Authority			☐	☐
Source of Funds			☐	☐
Product ownership / capacity			☐	☐
Export / Import licenses			☐	☐
Insurance			☐	☐
Escrow funding			☐	☐
Trade Credit reservation			☐	☐
Other			☐	☐

Compliance review: ☐ Standard ☐ Enhanced ☐ Sanctions ☐ PEP ☐ Source of Funds ☐ Product / Export ☐
 Third-party payment ☐ Additional pending

Hold: ☐ None ☐ Cash ☐ Trade Credits ☐ Delivery ☐ Documents Conditions:

Buyer certifies lawful funding, disclosed beneficial ownership and third-party payers, valid Trade Credits, genuine purpose, and compliance.

Seller certifies lawful ownership or supply, capacity, genuine Deliverables, accurate documents, licenses, and compliance.

Both certify no Prohibited Person, sanctions evasion, money laundering, terrorist financing, bribery, fraud, tax evasion, or prohibited activity.

PART X - DELIVERY, TITLE, RISK, AND ACCEPTANCE

Delivery Date: _____ ☐ Firm ☐ Estimated ☐ Time is of the essence

Delivery Location / Method / Trade Term: _____

Required documents: ☐ Invoice ☐ Packing List ☐ Origin ☐ Bill of Lading / Air Waybill ☐ Insurance ☐ Inspection
☐ Licenses ☐ Warranty ☐ Manuals ☐ Safety Data ☐ Service Completion Report ☐ Other

Title Transfers: _____ **Risk Transfers:**

_____ **Transit Insurance By:** _____

Inspection Period / Standard / Inspector: _____

Acceptance method: ☐ Signed Certificate ☐ Account approval ☐ Written confirmation ☐ Independent certificate
☐ Milestone approval ☐ Expiration of Inspection Period without valid rejection

Acceptance Criteria: _____

Rejection must identify the affected Deliverables, material Nonconformity, quantity, evidence, requested cure, and preservation status.

Warranty: **Goods:** _____ **Services:** _____ Additional terms:

PART XI - RELEASE CONDITIONS

Cash release requires: ☐ Binding Transaction ☐ Funding ☐ Shipment ☐ Delivery ☐ Inspection ☐ Acceptance ☐
 Required Documents ☐ Compliance Clearance ☐ PTE Approval ☐ Other

Trade Credit release requires: ☐ Binding Transaction ☐ Reservation ☐ Shipment ☐ Delivery ☐ Inspection ☐
 Acceptance ☐ Fee Authorization ☐ Compliance Clearance ☐ PTE Approval ☐ Other

Partial release: ☐ No ☐ Yes - terms: _____

PART XII - BUYER AUTHORIZATION

Subject to the Release Conditions, Buyer authorizes:

- 1 Payment of the approved Cash Component;
- 2 Reservation and transfer of the approved Trade Credit Component;
- 3 Collection of the Buying Transaction Fee;
- 4 Buyer taxes, charges, escrow, and provider costs;
- 5 Mathematical and duplicate-entry correction;
- 6 Valid cancellation, refund, dispute, fraud, and settlement adjustments;
- 7 Buying Schedule recording;
- 8 Required provider disclosure and record retention.

Maximum Cash: _____ **Maximum Trade Credits:**

_____ **Maximum Buyer Fee:** _____

Maximum Other Charges: _____

Buyer Signature: _____ **Name / Title:**

_____ **Date / Authentication:** _____

PART XIII - SELLER AUTHORIZATION

Subject to the Release Conditions, Seller authorizes:

- 1 Receipt of the approved Cash and Trade Credit Components;
- 2 Collection of the Selling Transaction Fee;
- 3 Seller taxes, charges, escrow, and provider costs;
- 4 Authorized reserves, holds, corrections, reversals, and refunds;
- 5 Selling Schedule recording;
- 6 Required provider disclosure and record retention.

Seller acknowledges that Gross Transaction Value is not Net Cash Proceeds, Trade Credits are not Cash, Conversion is separate, fees are separate, and taxes and charges may reduce settlement.

Seller Signature: _____ **Name / Title:** _____
Date / Authentication: _____

PART XIV - PTE ADMINISTRATIVE APPROVAL

PTE is authorized to record the Transaction, funding, reservation, transfers, fees, deductions, delivery, acceptance, completion, Schedule performance, refunds, reversals, holds, provider notices, and Final Settlement Statement. Administrative approval does not make PTE Buyer, Seller, bank, insurer, or escrow holder.

PTE Representative / Title: _____ **Signature / Date:** _____
Approval Reference: _____

PART XV - FINAL SETTLEMENT

Buyer Amount	Final
Cash paid	
Trade Credits transferred	
Buying Fee	
Taxes / Charges	
Refunds / Credits	
Buyer Total	

Seller Amount	Final
Gross Cash	
Gross Trade Credits	
Selling Fee	
Taxes / Withholding	
Charges / Reserve	
Refund / Reversal	
Net Cash	

Seller Amount	Final
Net Trade Credits	

Cash Settlement Date: _____ Trade Credit Settlement Date: _____
 Completion Date: _____ Outstanding
 Amounts / Disputes: _____
 Buyer completion confirmation: _____ Seller completion confirmation: _____
 PTE completion record: _____

SCHEDULE A - CHANGE ORDER

Change Reference / Transaction Reference: _____ Requested Change: _____
 Price / Cash / Trade Credit / Fee Impact: _____
 Delivery / Specification / Warranty / Tax Impact: _____
 Buyer Signature: _____ Date: _____ Seller Signature: _____ Date: _____ PTE Approval: _____
 Date: _____

SCHEDULE B - DELIVERY AND ACCEPTANCE CERTIFICATE

Delivery Date / Location: _____

Item	Due	Delivered	Condition

Decision: ☐ Accepted ☐ Accepted in Part ☐ Cure Required ☐ Rejected in Part ☐ Rejected in Full ☐ Testing Pending

Findings and cure: _____

Buyer Signature: _____ Date: _____ Seller Acknowledgment: _____ Date: _____

SCHEDULE C - SETTLEMENT DISPUTE AND HOLD

Disputing Party / Date: _____ Cash / Trade Credits Disputed: _____
 Basis and Evidence: _____ Hold Requested: _____
 Requested Resolution: _____
 PTE Hold / Review Record: _____

SCHEDULE D - REFUND AND REVERSAL

Reason: Cancellation / Rejection / Return / Default / Error / Fraud / Settlement / Legal Cash Refund /
 Destination: _____ Trade Credits Reversed / Restored: _____
 Fee and Schedule Adjustment: _____
 Buyer: _____ Seller: _____ PTE: _____